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|   |   |   |                                   |                  |
|---|---|---|-----------------------------------|------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>   |   | <b>Attorney Docket No.</b> M4065.0316/P316-A<br><b>First Inventor</b> Gurtej Sandhu<br><b>Title</b> SEMICONDUCTOR DEVICES USING ANTI-REFLECTIVE COATINGS<br><b>Express Mail Label No.</b>   |                                   |                  |
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small>  |   | <b>ADDRESS TO:</b> MS Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450  |                                   |                  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><small>(Submit an original, and a duplicate for fee processing)</small><br>2. <input type="checkbox"/> Applicant claims small entity status.<br><small>See 37 CFR 1.27.</small><br>3. <input checked="" type="checkbox"/> Specification      [Total Pages <b>19</b> ]<br><small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> 4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113)      [Total Sheets <b>4</b> ]<br>5. Oath or Declaration      [Total Sheets <b>2</b> ]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><small>(for continuation/divisional with Box 18 completed)</small> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></li> </ul> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 |   | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )<br>8. Nucleotide and/or Amino Acid Sequence Submission<br><small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> |                                   |                  |
| <b>ACCOMPANYING APPLICATION PARTS</b>   |   |   |                                   |                  |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br><small>(when there is an assignee)</small> <ul style="list-style-type: none"> <li><input type="checkbox"/> Power of Attorney</li> </ul> 11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )<br>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <ul style="list-style-type: none"> <li><input type="checkbox"/> Copies of IDS Citations</li> </ul> 13. <input checked="" type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small><br>Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small><br>15. <input type="checkbox"/><br>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).<br><small>Applicant must attach form PTO/SB/35 or its equivalent.</small><br>17. <input type="checkbox"/> Other: _____   |   |   |                                   |                  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:<br><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No.: <b>09/252,448</b><br>Prior application information: Examiner <b>N. Barreca</b> Art Unit: <b>1756</b>   |   |   |                                   |                  |
| <b>19. CORRESPONDENCE ADDRESS</b>   |   |   |                                   |                  |
| <input checked="" type="checkbox"/> Customer Number: <b>24998</b> OR <input type="checkbox"/> Correspondence address below  |   |   |                                   |                  |
| Name  | DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP<br>Thomas J. D'Amico                         |   |                                   |                  |
| Address   | 2101 L Street NW  |   |                                   |                  |
| City  | Washington  | State   | DC                                |                  |
| Country   | US  | Telephone   | (202) 785-9700                    |                  |
| Name (Print/Type)   | Thomas J. D'Amico   |   | Registration No. (Attorney/Agent) | 28,371           |
| Signature   |  |   | Date                              | October 15, 2003 |

U.S. PTO  
22178  
10/684431

101503  
15915 U.S.PTO

# FEE TRANSMITTAL for FY 2004

*Effective 10/01/2003, Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ ) **968.00**

### *Complete if Known*

|                      |                   |
|----------------------|-------------------|
| Application Number   | Not Yet Assigned  |
| Filing Date          | October 15, 2003  |
| First Named Inventor | Gurtej Sandhu     |
| Examiner Name        | Not Yet Assigned  |
| Art Unit             | N/A               |
| Attorney Docket No.  | M4065.0316/P316-A |

### METHOD OF PAYMENT (check all that apply)

Check     Credit Card     Money Order     Other     None

Deposit Account:

|                        |  |
|------------------------|--|
| Deposit Account Number | 04-1073                                |
| Deposit Account Name   | Dickstein Shapiro Morin & Oshinsky LLP |

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below     Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity              | Small Entity | Fee Description | Fee Paid  |
|---------------------------|--------------|-----------------|---|
| Fee Code                  | Fee (\$)     | Fee Code        | Fee (\$)  |
| 1051                      | 130          | 2051            | 65 Surcharge – late filing fee or oath  |
| 1052                      | 50           | 2052            | 25 Surcharge – late provisional filing fee or cover sheet.                    |
| 1053                      | 130          | 1053            | 130 Non-English specification   |
| 1812                      | 2,520        | 1812            | 2,520 For filing a request for ex parte reexamination                         |
| 1804                      | 920*         | 1804            | 920* Requesting publication of SIR prior to Examiner action                   |
| 1805                      | 1,840*       | 1805            | 1,840* Requesting publication of SIR after Examiner action                    |
| 1251                      | 110          | 2251            | 55 Extension for reply within first month                                     |
| 1252                      | 420          | 2252            | 210 Extension for reply within second month                                   |
| 1253                      | 950          | 2253            | 475 Extension for reply within third month                                    |
| 1254                      | 1,480        | 2254            | 740 Extension for reply within fourth month                                   |
| 1255                      | 2,010        | 2255            | 1,005 Extension for reply within fifth month                                  |
| 1401                      | 330          | 2401            | 165 Notice of Appeal  |
| 1402                      | 330          | 2402            | 165 Filing a brief in support of an appeal                                    |
| 1403                      | 290          | 2403            | 145 Request for oral hearing  |
| 1451                      | 1,510        | 1451            | 1,510 Petition to institute a public use proceeding                           |
| 1452                      | 110          | 2452            | 55 Petition to revive – unavoidable   |
| 1453                      | 1,330        | 2453            | 665 Petition to revive - unintentional  |
| 1501                      | 1,330        | 2501            | 665 Utility issue fee (or reissue)  |
| 1502                      | 480          | 2502            | 240 Design issue fee  |
| 1503                      | 640          | 2503            | 320 Plant issue fee   |
| 1460                      | 130          | 1460            | 130 Petitions to the Commissioner   |
| 1807                      | 50           | 1807            | 50 Processing fee under 37 CFR 1.17(q)  |
| 1806                      | 180          | 1806            | 180 Submission of Information Disclosure Stmt                                 |
| 8021                      | 40           | 8021            | 40 Recording each patent assignment per property (times number of properties) |
| 1809                      | 770          | 2809            | 385 Filing a submission after final rejection (37 CFR 1.129(a))               |
| 1810                      | 770          | 2810            | 385 For each additional invention to be examined (37CFR 1.129(b))             |
| 1801                      | 770          | 2801            | 385 Request for Continued Examination (RCE)                                   |
| 1802                      | 900          | 1802            | 900 Request for expedited examination of a design application                 |
| Other fee (specify) _____ |              |                 |   |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) **0.00**

\*\*or number previously paid, if greater; For Reissues, see above

### SUBMITTED BY

(Complete if applicable)

|                   |   |                                      |        |           |                  |
|-------------------|---|--------------------------------------|--------|-----------|------------------|
| Name (Print/Type) | Thomas J. D'Amico   | Registration No.<br>(Attorney/Agent) | 28,371 | Telephone | (202) 828-2232   |
| Signature         |  |                                      |        | Date      | October 15, 2003 |